

# Morgan Stanley A.C.E. Fund

An open-ended Across Capitalisations Equity Fund

Offer of Units available at NAV based prices.

Morgan Stanley

Offered by Morgan Stanley Mutual Fund

## KEY INFORMATION MEMORANDUM

This Key Information Memorandum (KIM) sets forth the information, which a prospective investor ought to know before investing. For further details of the scheme/Mutual Fund, due diligence certificate by the AMC, Key Personnel, investors' rights & services, risk factors, penalties & pending litigations, associate transactions etc. investors should, before investment, refer to the Offer Document available free of cost at any of the Investor Service Centres or distributors or from the website [www.morganstanley.com/indiamf](http://www.morganstanley.com/indiamf)

The Scheme particulars have been prepared in accordance with Securities and Exchange Board of India (Mutual Funds) Regulations, 1996, as amended till date, and filed with Securities and Exchange Board of India (SEBI). The units being offered for public subscription have not been approved or disapproved by SEBI, nor has SEBI certified the accuracy or adequacy of this KIM.

This Key Information Memorandum is dated May 12, 2008.

### SPONSOR :

#### MORGAN STANLEY

Principal place of business:

1585, Broadway, New York, 10036, United States of America

### THE BOARD OF TRUSTEE :

Office: Forbes Building, Charanjit Rai Marg,  
Fort, Mumbai - 400 001

### ASSET MANAGEMENT COMPANY :

MORGAN STANLEY INVESTMENT MANAGEMENT PVT. LTD.

Registered and Corporate Office Address:

Forbes Building, Charanjit Rai Marg, Fort, Mumbai - 400 001

### INVESTMENT OBJECTIVE

The investment objective of the scheme is to generate long-term capital growth from an actively managed portfolio of equity and equity-related securities including equity derivatives.

### ASSET ALLOCATION PATTERN

Types of Instruments	Normal Allocation (% of Net Assets)	Risk Profile
Equity and Equity Related Instruments	65% - 100%	High
Debt and Money Market Instruments *	0 - 35%	Low to Medium

\* including Securitised Debt

Investment in Derivatives - Up to 50% of the Net Assets of the Scheme.

Investments in Foreign Securities - Up to 30% of the Net Assets of the Scheme.

The AMC retains the option to alter the asset allocation. Such changes will be for a short term period and for liquidity/defensive considerations, the intention being at all times to seek to protect the interests of the Unit Holders.

### RISK PROFILE

Mutual Fund investments are subject to market risks. Please read the Offer Document carefully for details on risk factors before investment.

### PLANS AND OPTIONS

Growth Option and Dividend Option. Dividend Option offers Re-investment and Payout facility.

### APPLICABLE NAV

For Purchase including switch-ins:

- In respect of valid Purchase applications (along with necessary documents) accepted at an Official Point of Acceptance along with a local cheque or demand draft payable at par at the place where it is received up to 3 p.m. on a Business Day, the NAV of the respective option as at the close of that Business Day, subject to applicable entry load, if any, will be applicable.
- In respect of valid Purchase applications (along with necessary documents) accepted at an Official Point of Acceptance along with a local cheque or demand draft payable at par at the place where it is received after the cut-off time specified above, or on non-business day, the NAV of the next Business Day will be applicable.

For Redemption including switch-outs:

- In respect of valid applications accepted at an Official Point of Acceptance up to 3.00 p.m. on a Business Day, the NAV of the respective option as at the close of that Business Day, subject to applicable exit load, if any, will be applicable; and
- In respect of valid applications accepted at an Official Point of Acceptance after the cut-off time specified above, or on non-business day, the NAV of the next Business Day will be applicable.

### MINIMUM APPLICATION AMOUNT/NUMBER OF UNITS UNDER EACH OPTION

Purchase	Additional Purchase	Repurchase/Redemption
Rs. 5,000 and multiples of Re. 1/- thereof	Rs. 5,000 and multiples of Re. 1/- thereof	Rs. 1,000 and multiples of Re. 1/- thereof

### DESPATCH OF REPURCHASE (REDEMPTION) REQUEST

Within 10 working days of the receipt of the redemption request at the Official Points of Acceptance of Morgan Stanley Mutual Fund given in this scheme.

### BENCHMARK INDEX

BSE 200

### DIVIDEND POLICY

The Trustees, may decide to distribute by way of dividend, the surplus by way of realised profit, dividends and interest, net of losses, expenses and taxes, if any, to Unit Holders in the dividend option of the Scheme, if such surplus is available and adequate for distribution in the opinion of the Trustees. The Trustees decision with regard to availability and adequacy, rate, timing and frequency of distribution shall be final. The dividend will be due to only those Unit Holders whose names appear in the register of Unit Holders in the Dividend Option of the Scheme on the record date, which will be announced in advance. However, there is no assurance or guarantee that the dividends will be regularly paid, though it is the intention of the Mutual Fund to make regular dividend distribution.

### NAME OF THE FUND MANAGER

Mr. Jayesh Gandhi

### BOARD OF TRUSTEES

The Sponsor has appointed a Board of individual Trustees (the Trustees) to be the Trustees of Morgan Stanley Mutual Fund.

Presently, the Board of Trustee consists of - Dr. Abid Hussain, Mr. Bodhishwar Rai, Mr. Jagdish S. Bajjal, Mr. Ronald Robison and Mr. Michael Kollins.

### PERFORMANCE OF SIMILAR SCHEMES LAUNCHED BY MORGAN STANLEY MUTUAL FUND

Since no similar scheme has been launched by Morgan Stanley Mutual Fund in the past, the performance figures of similar schemes have not been provided.

### EXPENSES OF THE SCHEME

(i) Load Structure:

#### Entry Load:

For purchases of less than Rs. 5 crore: 2.25%

For purchases of Rs. 5 crore and above : Nil

In case of purchases in SIPs under salary saving schemes for groups of employees through an arrangement with their employer: Nil

#### Exit Load:

For purchases of less than Rs. 5 crore: If redeemed on or before the expiry of one year from the date of allotment: 1%. After the expiry of one year from the date of allotment: Nil.

For purchases of Rs. 5 crore and above Nil.

Pursuant to SEBI guidelines dated December 31, 2007 no entry load will be levied where application for investments in the Scheme is not routed through any distributor/agent/broker. The same will also be applicable for additional purchases done directly by the investor under the same folio and switch-in to a scheme from other schemes of Morgan Stanley Mutual Fund launched from time to time, if the transaction is done directly by the investors. Investors may note that the "Exit Load" will be levied as applicable.

(ii) Recurring Expenses:

As per SEBI Regulations, the maximum recurring expenses including the investment management and advisory fees that can be charged to the Scheme shall be subject to a percentage limit of average daily net assets as mentioned below:

First Rs. 100 crores : 2.50%

Next Rs. 300 crores : 2.25%

Next Rs. 300 crores : 2.00%

Balance : 1.75%

Subject to the SEBI Regulations, any expenses over and above the prescribed limit mentioned above shall be borne by the AMC.

(iii) New Fund Offer Expenses:

As per SEBI Regulations, New Fund Offer expenses cannot be charged to the Scheme. In this Scheme, the Entry Load collected during the New Fund Offer Period was utilised to meet the New Fund Offer expenses and the remainder of the New Fund Offer expenses, if any, will be borne by the AMC.

### TAX TREATMENT FOR THE INVESTORS (UNIT HOLDERS)

The information furnished below outlines briefly the key tax implications applicable to the Unit Holders of the Scheme and to the Fund and based on relevant provisions of the Income Tax Act, 1961, Wealth Tax Act, 1957 and Gift Tax Act, 1958, (collectively called 'the relevant provisions') as amended by the Finance Act, 2008. The benefits stated herein have been reviewed and certified by M/s. S. R. Batliboi & Co, Chartered Accountants, a member firm of the Ernst & Young organisation and are found in agreement with the relevant provisions. However, S. R. Batliboi & Co. do not make any representations on the procedures for ascertaining the tax benefits nor do they make any representation regarding any legal interpretations.

**THE FOLLOWING INFORMATION IS PROVIDED FOR GENERAL INFORMATION PURPOSES ONLY AND APPLIES TO THE SCHEME. IN VIEW OF THE INDIVIDUAL NATURE OF TAX BENEFITS, EACH INVESTOR IS ADVISED TO CONSULT HIS OR HER OWN TAX CONSULTANT WITH RESPECT TO THE SPECIFIC TAX IMPLICATIONS ARISING OUT OF HIS OR HER PARTICIPATION IN THE SCHEME.**

## A) TAX IMPLICATIONS TO UNIT HOLDERS

### 1) Income Tax Act, 1961 (the Act)

#### a. Income from units

As per section 10(35) of the Act, any income (other than income arising from transfer of the units) received in respect of the units of a Mutual Fund specified under section 10(23D) of the Act, is exempt in the hands of the Unit Holders.

#### b. Income arising from transfer of units

##### i) Long term capital gains

Under section 2(29A) read with section 2(42A) of the Act, units of the Scheme held as a capital asset are treated as a long-term capital asset if they are held for a period of more than 12 months immediately preceding the date of their transfer.

From the full value of consideration, the following amounts should be deducted to arrive at the amount of long-term capital gains:

- Cost of acquisition as adjusted by the cost inflation index notified by the Central Government in the Official Gazette; and
- Expenditure incurred wholly and exclusively in connection with such transfer.

The additional units issued under the 'Reinvest Dividend' Option available in the Scheme and held as capital asset would get the benefit of long-term capital gains tax, if sold after being held for more than 12 months. For this purpose, 12 months will be computed from the date when such additional units are allotted.

As per section 10(38) of the Act, long-term capital gains arising on the transfer of units of equity oriented funds (refer note) on which the Securities Transaction Tax (STT) has been paid (refer sub-para (iv) below) are exempt from income tax.

(Note: Equity-oriented fund means a fund, (i) where the investible funds are invested by way of equity shares in domestic companies to the extent of more than sixty five percent of the total proceeds of such fund; and (ii) which has been set up under a scheme of a Mutual Fund specified under clause (23D) of section 10 of the Act.)

In case of non resident Unit Holders eligible for availing tax treaty benefits, please refer to paragraph (e) below.

##### ii) Short-term capital gains

Under section 2(42A) of the Act, units of a Mutual Fund held as capital assets for a period of 12 months or less immediately preceding the date of their transfer are regarded as short term capital assets.

As per section 111A of the Act, short term capital gains arising on the redemption/transfer of units of equity-oriented Mutual Funds (on which STT has been paid refer sub-para (iv) below) are taxable at a rate of 15 percent (plus applicable surcharge & education cess). The tax liability in case of resident individuals/HUFs would be lower where the total income as reduced by such short-term capital gains is below the maximum amount which is not chargeable to tax.

In case of non resident Unit Holders eligible for availing tax treaty benefits, please refer to paragraph (e) below.

##### iii) Business Income

Under section 28 of the Act, profit arising on transfer of units of a Mutual Fund which are held as stock in trade or trading asset, is taxed under the head 'Profits and Gains of Business or Profession'. Such profit is added to the total income of the assessee and taxed at the rates mentioned below.

Assessee Status	Tax Rate (excluding surcharge and education cess)
Residents Individuals, HUFs, Association of persons, Body of individuals and Artificial juridical person	At the slab rates applicable
Firms, Companies and Local Authorities	30%
Non-residents (other than Companies)	At the slab rates applicable
Foreign Companies	40%

In case of non resident Unit Holders eligible for availing tax treaty benefits, please refer to paragraph (e) below.

##### iv) Avoidance of Tax by certain Transactions in Securities

As per section 94(7) of the Act, losses arising from the sale/transfer of units (including redemption) purchased up to 3 months prior to the record date and sold within 9 months after such date, will be disallowed to the extent of income distribution (excluding redemptions) on such units claimed as tax exempt by the unit holder.

Further, section 94(8) of the Act provides that any person who buys or acquires any units within a period of 3 months prior to the record date and such person is allotted additional units without consideration (bonus units) based on the original holding, any subsequent loss on sale of original units within a period of 9 months from the record date, will be ignored for computing the income chargeable to tax if the Unit Holder continues to hold all or any of the bonus units at the time of sale of original units. The loss so ignored will be deemed to be the cost of purchase or acquisition of Bonus units (held at such time) when these Bonus units are subsequently sold.

##### v) Securities Transaction Tax (STT)

STT is levied on purchase or sale of a unit of an equity-oriented fund entered in a recognised Stock Exchange. The responsibility for the collection of the STT and payment to the credit of the Government is with the Stock Exchange. STT is also levied on sale of a unit of an equity-oriented fund to the Mutual Fund. In such a case, the responsibility for the collection of the STT and payment to the credit of the Government is with the Mutual Fund. With effect from June 1, 2006, the rates of STT are as follows:

Nature of Transaction	Rate of STT
<b>A. Settled by actual delivery or transfer</b>	
Purchase of units of an equity oriented fund entered in a recognised Stock Exchange	Purchaser to pay 0.125 percent
Sale of units of an equity oriented fund entered in a recognised Stock Exchange	Seller to pay 0.125 percent

Nature of Transaction	Rate of STT
<b>B. Settled otherwise than by actual delivery or transfer</b>	
Sale of units of an equity oriented fund entered in a recognised stock exchange	Seller to pay 0.025 percent
<b>C. Sale of units of an equity oriented fund to the Mutual Fund</b>	Seller to pay 0.25 percent

##### vi) Set off and carry forward

Short Term Capital Loss on transfer of units is available for set off against both Short Term and Long Term Capital Gains arising on sale of other assets and the balance short term capital loss shall be carried forward for set off against capital gains, both short term and long term, in subsequent years.

Long Term Capital Loss on transfer of units is available for set off against only Long Term Capital Gains arising on sale of other assets and the balance Long Term Capital Loss shall be carried forward for set off only against Long Term Capital Gains in subsequent years.

Carry forward of losses is admissible up to maximum of eight assessment years immediately succeeding the assessment year for which the loss was first computed.

##### c. Tax Deducted at Source (TDS)

###### i. On income in respect of Units

As per Section 194K and Section 196A of the Act, no tax shall be deducted at source from any income (other than income on transfer of units) credited or paid to Unit Holders in respect of units of a Mutual Fund specified under section 10(23D) of the Act.

###### ii. On capital gains

###### Resident investors

No tax is required to be deducted at source from capital gains arising at the time of repurchase/redemption of the units.

###### Non resident investors

Long term capital gains arising from the sale of units of the equity-oriented fund on which STT has been paid (refer sub-para (iv) above) is exempt from tax. Accordingly, the provisions of withholding tax at source under section 195 of the Act do not apply.

In respect of short term capital gains arising on sale of units, tax is required to be deducted at source at the rate of 15 percent (plus applicable surcharge & education cess).

No tax is deductible at source from capital gains arising to an FII on repurchase/redemption of units in view of the provisions of section 196D(2) of the Act.

The Fund will deduct the above tax at the time of winding up of the Scheme or on repurchase of units.

##### d. Surcharge and Cess

###### Resident Investors

A surcharge of 10% is levied on tax payable by:

- All resident individuals, HUFs, Body of individuals and Association of persons if the total taxable income exceeds Rs. 1 million.
- All resident corporates and firms if the total taxable income exceeds Rs.10 million.
- Co-operative societies and local authorities would not be required to pay surcharge.

###### Non Resident Investors

- In case of non residents (other than companies), surcharge is levied @ 10% on the tax payable if the total taxable income exceeds Rs. 1 million.
- In case of Foreign companies, surcharge is levied @ 2.5% on the tax payable, if the total taxable income exceeds Rs. 10 million.

An Education Cess of 2% and Higher Secondary Education Cess of 1% is levied on tax plus surcharge payable by all assessees.

Accordingly, the rates of tax and TDS rates mentioned above, will be increased by the applicable surcharge and cess.

##### e. Tax Treaty Benefits

As per Section 90 of the Act, in the case of a non resident Unit Holder who is resident of a country with which India has signed a Double Taxation Avoidance Agreement ('DTAA'), which is in force, income tax is payable at the rate provided in the Act or at the rate provided in the such agreement, whichever is more beneficial to such non resident Unit Holder.

Further, where the rate of tax prescribed under the relevant DTAA is lower than that prescribed under the Act, tax would be withheld at such lower rate.

However, in order to obtain the benefit of the lower rate under the DTAA, the Unit Holder would be required to provide a certificate under Section 197 of the Act from his Assessing Officer stating the eligibility of the investor to claim such benefit.

##### f. Religious and Charitable Trust

Investment in Units of the Fund by Religious and Charitable Trusts is an eligible investment under section 11(5) of the Act, read with Rule 17C of the Income Tax Rules, 1962. However, such investment may be permitted only subject to the state legislation governing Religious and Charitable Trusts in this regard, wherever applicable.

## 2) Wealth Tax Act, 1957

Units held under the Scheme of the Fund are not treated as assets as defined under section 2(ea) of the Wealth Tax Act, 1957 and therefore, would not be liable to Wealth Tax.

## 3) Gift Tax Act, 1958

The Gift Tax Act, 1958 is now abolished.

## B) TAX IMPLICATIONS FOR THE FUND

As the Fund has been registered with the Securities and Exchange Board of India ('SEBI') under the SEBI (Mutual Fund) Regulations, 1996, the entire income of the Fund is exempt from income tax under section 10(23D) of the Act.

As per section 115R of the Act, equity-oriented schemes are exempted from payment of the distribution tax on income distributions. As per section 115T of the Act, equity oriented scheme would inter-alia mean such scheme where investible funds are invested by way of equity share in domestic companies to the extent of more than sixty-five percent of total proceeds of such scheme.

The Mutual Fund is liable to pay STT at the prescribed rate as outlined in paragraph (b-v) above,

The Mutual Fund is liable for payment of service tax, in the capacity of a service recipient, under "Business Auxiliary Services" for services received from distributors of Mutual Funds/agents and services, received from service providers located outside India, qualifying as taxable import into India under the Finance Act, 1994 and allied rules. At present, the rate of service tax is 12.36 percent (tax rate of 12 percent plus education cess of 3 percent).

## DAILY NET ASSET VALUE (NAV) PUBLICATION

The NAV will be declared on all business days and will be published in two daily newspapers. The NAV of the Scheme will also be updated on the website of the Fund

i.e. www.morganstanley.com/indiamf and on the AMFI website i.e. www.amfiindia.com by 9 p.m. on all business days. Investors may also contact any of the Investor Service Centres of Morgan Stanley Mutual Fund.

## FOR INVESTOR GRIEVANCES PLEASE CONTACT

### Kary Computershare Private Limited

Kary Registry House  
Unit: Morgan Stanley Mutual Fund  
21, Avenue 4, Street No. 1, Banjara Hills, Hyderabad - 500 034

### Morgan Stanley Mutual Fund:

Investment Manager - Morgan Stanley Investment Management Pvt. Ltd.  
5th Floor, Forbes Building, Charanjit Rai Marg, Fort, Mumbai - 400 001  
Tel. No. : 022-2209 6600  
Toll Free: 1800 425 1313; Non Toll Free: 040 2342 8757  
Fax No. : 022-2209 6606  
E-mail : mfinvestorcare@morganstanley.com

## UNIT HOLDERS' INFORMATION

1. Account Statement will be provided on each transaction. However, in case of SIP/STP/SWP, the same may be sent once every quarter ending March, June, September and December. However, in such cases the first account statement shall be issued within 10 working days of the initial investment. 2. Annual Report or an abridged summary thereof shall be provided to unit holders by post or by email to the unitholders who have specifically opted for the alternative mechanism. 3. The Mutual Fund will publish the half-yearly unaudited financial results of the Scheme for the period ended 31st March and 30th September, in an English national daily and Marathi daily. 4. The half-yearly scheme portfolio as of 31st March and 30th September shall either be mailed/emailed to the unitholders or published in the newspapers as permitted under SEBI (Mutual Funds) Regulations, 1996.

**Please refer to the Offer Document of this scheme for details, and risk factors before investing.**

## INSTRUCTIONS TO INVESTORS FOR FILLING UP THE APPLICATION FORM

### GENERAL INFORMATION:

- Please read the Offer Document containing the terms of offer. Your attention is particularly drawn to the sections on 'Prevention of Money Laundering and Know Your Customer'. Please refer to the Offer Document and the Key Information Memorandum carefully before filling the Form. All applicants are deemed to have accepted the terms of the Offer Document subject to which this offer is being made and bind themselves to such terms of the Offer Document upon signing the Application Form and tendering the payment.
- The application form is for Resident and Non Resident Indian (NRI) investors and should be completed in English in BLOCK letters. Please (✓) in the appropriate box, wherever boxes (□) have been provided.
- Applications under Power of Attorney/Body Corporate/Registered Society/Trust/Partnership: In case of an application under a Power of Attorney, the application should be accompanied by an original Power of Attorney or by a duly notarised copy of the Power of Attorney. The Mutual Fund/Trustee/AMC reserves the right to reject the application forms not accompanied by a Power of Attorney. Further, the Mutual Fund/Trustee/AMC reserves the right to hold Redemption proceeds in case the requisite documents are not submitted. For applications by a company, body corporate, eligible institutions, registered society, trusts, partnership or other eligible non-individuals who apply in the Scheme should furnish a certified copy of resolution or authority to make the application as the case may be, a list of specimen signatures of the authorised officials, duly certified/attested and a certified copy of the Memorandum and Articles of Association and/or bye-laws and/or Trust Deed and/or Partnership Deed and certificate of registration or any other document as the case may be. In case of a trust/fund, it shall submit a certified true copy of the resolution from the trustee(s) authorising such Subscriptions and Redemptions. The authorised officials should sign the application under their official designation.
- Application form incomplete in any respect or not accompanied by a Cheque or Demand Draft (DD) for the amount payable are liable to be rejected and the money paid will be refunded without any interest thereon. An application may be accepted or rejected at the sole and absolute discretion of AMC, without assigning any reason whatsoever.

### APPLICANTS' INFORMATION

- Applicants must provide all the details under Applicant's Information in the Application Form. Name and address must be given in full (P.O. Box Address alone is not sufficient). In case of NRIs/FIIs, 'Overseas Address' should also be provided.
- The Signature(s) may be in English or in any of the Indian languages specified in the Eighth Schedule of the Constitution of India. Thumb impressions must be attested by a Magistrate or a Notary Public or a Special Executive Officer under his/her official seal. Applications by minor should be signed by their guardians. In case of HUF, the Karta should sign on behalf of the HUF.
- Please provide the name of the Guardian in case of investments on behalf of minor or the name of the Contact Person in case of investments by a Company/Body Corporate/Partnership Firm/Trust/Society/FIIs/Association of Persons/Body of Individuals.
- Investor has an option to receive communication through email. Please furnish your email ID in the Form. The investor always has a right to demand a physical copy of any or all the documents in respect of the services provided by the Mutual Fund.

### MANDATORY INFORMATION

#### 9. PERMANENT ACCOUNT NUMBER (PAN) DETAILS:

**SEBI has made it mandatory for all applicants (in case of application in joint names, each of the applicants) to mention his/her Permanent Account Number (PAN) irrespective of the amount of investment\*. If the applicant is a minor, and does not possess his/her own PAN, he/she shall quote the PAN of his/her father or mother or guardian, as the case may be. In order to verify whether the PAN details are quoted correctly in the application form, the applicants shall enclose a photocopy of the PAN card duly attested by the ARN distributors, ISCs of Morgan Stanley Mutual Fund, bank managers or judicial authorities. Attestation will be done after verification with the original PAN card.**

\*includes fresh purchase, additional purchase, Systematic Investment.

**Applications which do not comply with the above shall be rejected. Investors may please note that transactions accompanied with Form 49A and Form 60/61 will be rejected.**

Accordingly, Investors may note the following :

- Investors are required to furnish the PAN along with a copy of a document\*\*, evidencing the PAN irrespective of the amount of application.
- If your investment is being jointly made with other co-applicants, the PAN for each of the co-applicants should be furnished along with a copy of a document\*\*, evidencing the PAN for each of the co-applicant.
- If you are investing as a Guardian on behalf of a minor, please furnish your PAN along with a copy of a document\*\*, evidencing the PAN for the Guardian.
- Applications, where the details of the documents submitted as evidence for PAN do not match with the Applicants/existing information available with Morgan Stanley Mutual Fund, will be rejected.
- Applications not complying with the above requirements will be deemed to be incomplete and will be rejected.**

\*\* PAN Card/Refund Order/Assessment Order/any correspondence from the Income Tax Authority

#### 10. KNOW YOUR CUSTOMER ACKNOWLEDGEMENT LETTER (KYC LETTER):

**In terms of Prevention of Money Laundering Act, 2002, the rules issued there under and the guidelines/circulars issued by SEBI regarding the Anti Money Laundering (AML) Laws, all intermediaries, including the Mutual Funds, have to implement a client identification program, verify and maintain the record of identity and address/es of investors.**

**The KYC requirements can be completed by filling up the prescribed form and submitting the same along with the other requisite details/proof (attested true copies of supporting documents relating to proof of identity and address or verification with the original) to a designated Point of Service appointed by CDSL Ventures Ltd., the Central Agency appointed for receiving the Forms, processing data and providing the KYC Acknowledgement letter. As a token of having verified the identity and address of the investor/s, the Central Agency will issue a KYC Acknowledgement Letter to each investor.**

**Please note that presently, it is mandatory for all applications for subscription of Rs. 50,000/- and above to attach the KYC Acknowledgement letter for all applicants (including joint holders, PoA holders, and guardian in case of minor), along with the Investment Application Form/s. Transaction Form/s while investing for the first time in every folio. This will be applicable for all investors (both individual and non-individual). In this behalf, the AMC reserves the right to reject any application and effect a mandatory Redemption of Units allotted within 30 Business Days from the date of the allotment.**

**For details, please refer to the offer document of the Scheme, and/or contact any Investor Service Centre of Morgan Stanley Mutual Fund.**

#### 11. BANK ACCOUNT DETAILS

Applicants should provide the name of the bank, branch address, account type and account number of the Sole/First Applicant. Please note that as per SEBI guidelines, it is mandatory for investors to mention their bank account details in the Application Form. Applications without this information will be deemed to be incomplete and shall be rejected by the Mutual Fund.

### 12. INVESTMENT DETAILS

- Applicants should indicate the Plan/Option and Dividend Mode, for which the application is made, by indicating the choice in the appropriate box provided for this purpose in the Application Form.
- In case Applicants wish to opt for both the Plans/Options, separate Application Forms will have to be filled.
- If no indication is given for the Option, the investment will be deemed to be for the Growth Option.
- Under the Dividend Option investor can further select Reinvestment or Payout Option. If no indication is given the investment will be deemed to be for the Reinvestment Option

### 13. PAYMENT DETAILS

- The Cheque/DD should be drawn in favour of the scheme "**Morgan Stanley A.C.E. Fund**" and crossed **Account Payee Only. PAYMENT BY STOCKINVESTS / OUTSTATION CHEQUES / POSTAL ORDER / MONEY ORDER / CASH / POST DATED CHEQUES IS NOT PERMITTED.**
- The Cheque/DD should be payable locally at the centre where the Application is deposited. The Cheque/DD should be drawn on any Bank which is situated at and is a member/sub-member of the Bankers.
- Clearing House Cheques/DDs drawn on a Bank not participating in the Clearing House will not be accepted. For investment under different options, separate Cheques/DDs should be attached and separate application forms have to be filled.
- The Application form number should be mentioned on the reverse of the Cheque/DD that accompanies the application.
- Investors residing in centres, where the Morgan Stanley Mutual Fund Investor Servicing Centres (ISCs) do not have any collection arrangement, are requested to make payment by DDs. DD charges would be borne by the AMC only where the AMC does not have branch/ISC or other collection facilities. SBI DD charges are treated as standard DD charges for this purpose. SBI DD Charges as of February 11,2008 are given below:

Remittance Upto Rs. 10000/-	Rs. 30
Remittance Above Rs. 10000/-	Rs.2.50 per Rs. 1000 (Minimum Rs. 50 and Maximum Rs. 12500)

Source: SBI website (www.sbi.co.in)

- AMC in the normal course will not reimburse the DD charges. AMC will process the application for eligible number of units after adding permissible DD charges, if applicable.
- In the event that DD amount with permissible DD charges is not sufficient to allot minimum number of units, AMC will refund the amount represented by the instrument deposited.
- For NRI/ Persons of Indian origin/ FII Investors:**

**Repatriation Basis :** Payments by NRIs/FIIs residing abroad, may be made by way of Indian Rupee drafts purchased abroad or by way of cheques drawn on Non Resident External Accounts (NRE Accounts) payable locally at the centre where the application is deposited. Payments can also be made by means of Rupee drafts payable locally at the centre where the application is deposited and purchased out of funds held in NRE Account/FCNR Account. In case of Indian Rupee drafts purchased abroad or subscription through NRE/FCNR Account, an account debit certificate from the bank issuing the draft confirming the debit/FIRC should be enclosed.

**Non Repatriation Basis:** NRIs investing on a non-repatriable basis may do so by issuing Cheque/DD, debiting Non Resident Ordinary (NRO) accounts.

### 14. COMMUNICATION

Morgan Stanley Mutual Fund has an online service which gives you the latest details of your account including your current valuation information on transactions. The Account Statement, Annual Reports or other information etc. may be sent to unit holders by email in lieu of printed documents. Please furnish your email ID and indicate the nature of communication you wish to receive over email.

When a unit holder has communicated email address and has provided consent for sending communication only via email, the AMC/Mutual Fund/Registrars & Transfer Agents are not responsible for the email not reaching the investor and for all consequences thereof. The investor shall from time to time intimate the Fund/it's transfer agents about any changes in the email address.

In case of a large document, a suitable link will be provided and investors can download, save and print these documents. However, the unit holder always has the right to request a physical copy of the shareholder communication and the AMC will arrange for the same to be sent to the unit holder.

### 15. NOMINATION

- The nomination can be made only by individuals applying for/holding units on their own behalf singly or jointly. Non-individuals including Society, Trust, Body Corporate, Partnership Firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the unit holder. Nomination can also be in favour of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- The Nominee shall not be a Trust (other than a Religious or Charitable Trust), Society, Body Corporate, Partnership Firm, Karta of a Hindu Undivided Family or a Power of Attorney holder. A Non Resident Indian can be a Nominee subject to the Exchange Control Regulations of RBI, in force, from time to time.
- Nomination in respect of the units stands rescinded upon the transfer of units.
- Transfer of units in favour of a Nominee shall be valid discharge by the Asset Management Company against the legal heir.
- The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination.
- On cancellation of the nomination, the nomination shall stand rescinded and the Asset Management Company shall not be under any obligation to transfer the units in favour of the Nominee.

### 16. WHO CANNOT INVEST:

It should be noted that the following persons cannot invest in the Scheme(s):

- Any individual who is a Foreign national.
- Overseas Corporate Bodies (OCBs) shall not be allowed to invest in the Scheme(s). These would be firms and societies, which are held directly or indirectly but ultimately to the extent of at least 60% by NRIs and Trusts in which at least 60% of the beneficial interest is similarly held irrevocably by such persons (OCBs).
- Non Resident Indians residing in the United States of America and Canada.
- Non Resident Indians residing in the Financial Action Task Force (FATF), Non Compliant Countries and Territories(NCCTS) (as on August 14, 2007 there are no countries as non - co-operative).

17. The Trustee/AMC retains the sole and absolute discretion to reject any application.

## SIP INSTRUCTIONS

### SIP WITH AUTO DEBIT FACILITY

- The first SIP installment should be through cheque only and has to be drawn on the same bank account which is to be registered for ECS (Debit Clearing)/Direct Debit.
- Please furnish the following details with respect to your SIP Investment.
  - SIP installment amount (Minimum Rs. 1000/-)
  - No of SIP installments (Minimum 6 installments)
  - SIP Frequency (Monthly/Quarterly) and SIP Date (1st/10th/15th/25th)
- The First SIP cheque date should be same as the date of submission of the Application Form.
- First SIP Cheque and subsequent SIP installments via ECS (Debit Clearing)/Direct Debit should be of the same amount
- The Auto Debit Authorisation needs to be filled in and signed by the Bank Account holder in the same order and manner in which the Bank Account is held by them.

### TERMS AND CONDITIONS

- SIP facility is offered to the investors using RBI's Electronic Clearing Services (ECS Debit Clearing Facility). By opting for this facility, the investor agrees to abide by the terms and conditions subject to which this facility is offered by RBI.
- The SIP Auto-Debit (ECS) facility is offered only to investors having Bank Accounts in select cities listed below:
  - Agra • Ahmedabad • Allahabad • Amritsar • Aurangabad • Bangalore • Bardhaman • Baroda • Belgaum • Bhilwara • Bhopal • Bhubaneswar • Bijapur • Calicut • Chandigarh • Chennai • Cochin • Coimbatore • Dehradun • Delhi • Dhanbad • Durgapur • Erode • Gadag • Gorakhpur • Guwahati • Gwalior • Hubli • Hyderabad • Indore • Jabalpur • Jaipur • Jalandhar • Jammu • Jamnagar • Jamshedpur • Jodhpur • Kakinada • Kanpur • Kolhapur • Kolkata • Lucknow • Ludhiana • Madurai • Mangalore • Mumbai • Mysore

- Nagpur • Nasik • Nellore • Panjim • Patna • Pondicherry • Pune • Raipur • Rajkot • Ranchi • Salem • Shimla • Sholapur • Siliguri • Surat • Thirupur • Tirupati • Trichur • Trichy • Trivandrum • Udaipur • Udipi • Varanasi • Vijaywada • Vizag

The cities/banks/branches in the list may be modified/updated/changed/removed at any time in future entirely at the discretion of MSMF/MSIMPL without assigning any reasons or prior notice. If any city/bank/branch is removed, SIP instructions for investors in such city/bank/branch via ECS (Debit Clearing)/Direct Debit route will be discontinued without prior notice.

- The first SIP date for ECS (Debit Clearing)/Direct Debit will be at least 30 days after the date of allotment of units. Please take this into account while entering the SIP Auto Debit period and indicate the correct SIP Auto Debit commencement date. If you are investing in SIP, then the next SIP installment will be on or after 30 days after allotment of units. Only one SIP per month/quarter, per Folio/Account is permitted.
- Investors will not hold MSMF/MSIMPL, its registrars and other service providers responsible if the transaction is delayed or not effected or the investors bank account is debited in advance or after the specific SIP date due to local holidays or any other reason.
- MSMF/MSIMPL, its registrars and other service providers shall not be responsible and liable for any damages/compensation for any loss, damage etc. incurred by the investor. The investor assumes the entire risk of using this facility and takes its full responsibility.
- MSMF/MSIMPL reserves the right to reject any application without assigning any reason thereof.
- Please refer to the Key Information Memorandum/Offer Document of the respective Scheme(s) for Applicable NAV, risk factors, load and other information.
- You can choose to change your bank account or discontinue this facility subject to meeting the minimum criteria of six installments by giving 30 days written notice to any of our Investor Service Centres.

## OFFICIAL POINTS OF ACCEPTANCE DURING ONGOING OFFER

**MORGAN STANLEY INVESTMENT MANAGEMENT PVT. LTD. :** **Ahmedabad :** Broadway Business Center, 1st Floor, Sahajanand Complex, C. G. Road, Ahmedabad 380 006 **Bangalore :** 928, Regus Business Centre, Level 9, Raheja Towers, 26/27 Mahatma Gandhi Road, Bangalore 560 001 **Chandigarh :** Office No.303, 3rd Floor, Reliant Business Centre, SCO No 60-61-62, Sector 17 C, Chandigarh 160 017 **Chennai :** 208/209, Apeejay Business Centre, Apeejay House, 39/12 Haddows Road, Nungambakkam, Chennai 600 006 **Hyderabad :** Office No. 214 DBS Business Centre, 43 - 46 Sardar Patel Road, Secunderabad 500 003 **Kolkata :** Office No.8, 8th Floor, Apeejay Business Centre, Apeejay House, Block A, 15 Park Street Kolkata 700 016. **Mumbai :** 201 DBS House, Prescott Street, Opp. Cathedral Senior School, Fort, Mumbai 400 001 **New Delhi :** Office No.218, DBS Business Centre, World Trade Tower, Barakhamba Lane Connaught Place, New Delhi 110 001 **Pune :** 220, Regus Business Centre, Level 2, Connaught Place, Bund Garden Road, Pune 411 001

**KARVY COMPUTERSHARE PRIVATE LIMITED :** **Ahmedabad :** 307 Shail Buildings, Opp : Madhusudhan House, Off : C G Road, Nr. Navrangpura Telephone Exchange, Ahmedabad - 380 006 **Asansol :** 18, G T Road, 1st Floor, Asansol - 713 301 **Amritsar :** 72A, Taylor's Road, Aga Heritage Gandhi Ground, Amritsar 143 001 **Bangalore :** No : 51/25, 1 St Floor, Surya Building, Ratna Avenue, Richmond Road, Bangalore - 560 025 **Baroda :** Piccadilly, Office # 5, First Floor, Opp. Adani Super Market, Jetalpur Road, Vadodara - 390007 **Bhavnagar :** 1st Floor, Corporate House, Above Canara Bank, Waghawadi Road, Bhavnagar 364 001 **Bhubaneswar :** 624, Sahid Nagar, 1st Floor, Bhubaneswar - 751007 **Burdwan :** 63 G T Road, Birhata, Halder Complex, 1st Floor, Burdwan - 713 101 **Calicut :** 2nd Floor, Sowbhagya Shopping Complex, Mavoor Road, Calicut - 673 004 **Chandigarh :** Sco-371-372, First Floor, Above HDFC Bank, Sector 35B, Chandigarh - 160 022 **Chennai :** Flat No F11, First Floor, Akshya Plaza, (Erstwhile Harris Road), Opp Chief City Metropolitan Court, # 108, Adhithanar Salai, Egmore, Chennai - 600002 **Cochin :** 39 Panampilly Nagar, Ernakulam, Cochin - 682 036 **Coimbatore :** 29/1, 1st Floor, Chinthamani Nagar, Opp To Indian Overseas Bank, NSR Road, Saibaba Colony, Coimbatore - 641 011 **Cuttack :** Dargha Bazar, Opp. Dargha Bazar Police Station, Buxibazar, Cuttack - 753 001 **Dehradun :** Kaulagarh Road, Near Sirmour Marg, Above Reliance Webworld, Dehradun - 248 001 **Durgapur :** Old Dutta Automobiles Building, 1st Floor, Nachan Road, Benachity, Durgapur - 713 213 **Goa :** 2nd Floor, Dalal Commercial Complex, Opp.: Hari Mandir, Pajifond, Margao - 403 601 **Guntur :** Door No : 6-10-18, Sai House, 10 / 1, Arundelpet, Guntur - 522 002 **Gurgaon :** Shop no. 18, Ground Floor, Sector - 14, Opp. AKD Tower, Near Huda Office, Gurgaon - 122001 **Guwahati :** 2nd Floor, Ram Kumar Plaza, Chatribari Road, Near Himatshinga Petrol Pump, Guwahati - 781 001 **Hubli :** Giriraja House, No.451/B, Ward No.1, Club Road, Hubli - 580 029 **Hyderabad :** 21, Avenue 4, Street No.1, Banjara Hills, Hyderabad - 500 034 **Indore :** DM Tower, 105, 106 & 107, Above standard chartered bank, Near jangeerwala chowrah, Indore- 452001 **Jaipur :** S-16 A, 3rd floor, Land mark, Opposite Jaipur club, Mahavir Marg, C- Scheme, Jaipur - 302 001 **Kanpur :** 15/46, Opp: Muir Mills, Civil Lines, Kanpur - 208 001 **Jamnagar :** Sanskruti, 5 Patel Colony Corner, Opp. Axis Bank, Jamnagar - 361 008 **Jamshedpur :** Kanchan Tower, 3rd Floor, Chhaganlal Dayalji Sons, 3-S B Shop Area, (Near Traffic Signal ), Main Road, Bistupur, Jamshedpur - 831 001 **Kolkata :** 16 Jatin Bagchi Road, Kolkata - 700 029 **Lucknow :** 94, Mahatma Gandhi Marg, Opp Governor House, Hazratganj, Lucknow - 226 001 **Ludhiana :** SCO-3, BAWA Building, Feroze Gandhi Market, Ludhiana - 141001 **Madurai :** Rakesh towers, 30-C, Bye pass Road, 1st floor, Opp. Nagappa motors, Madurai - 625010 **Mangalore :** Mahendra Arcade, Ground Floor , Karangalapani, Kadiabail, Mangalore - 575 003 **Moradabad :** Om Arcade, Parker Road, Above Syndicate Bank, Tari Khana Chowk, Moradabad 244 001 **Mumbai :** 26/30, Fort Foundation Bldg, Near Msc Bank, Maharashtra Chamber of Commerce Lane, Fort Mumbai - 400 023 **Mysore :** L - 350 , Silver Tower , Clock Tower, Ashoka Road, Mysore - 570 001 **Nagpur :** 230/231, 3rd floor, Shiram Shyam Towers, Next to NIIT building, Sadar, Kings way, Nagpur - 440 001 **Nasik :** F-1 Suyojit Sankul, Sharanpur Road, Nasik - 422 002 **Navsari :** 1st Floor, Chinmay Arcade, Opp. Sattapir, Tower Road, Navsari - 396 445 **New Delhi :** 212, Arunachal Building, 2nd Floor, 19 Barakhamba Road, Connaught Place, New Delhi - 110 001 **Panipat :** 1st Floor, Krishna Tower, Near Hdfc Bank, Opp. Railway Road, G T Road, Panipat - 132 103 **Patna :** 202, 2nd Floor, Anand Tower, Beside Chankya Cinema Hall, Exhibition Road, Patna - 800 001 **Pune :** Srinath Plaza, C Wing, Office No. 58 and 59, 3rd Floor, Dyaneshwar Paduka chowk, Survy No. 184/4, F C Road, Pune - 411004 **Rajkot :** 204, Star Chambers, Dr Rajendra Prasad Road, Harihar Chowk, Rajkot - 360 001 **Ranchi :** Commerce Towers, 3rd Floor, Room No. 307, Beside Mahabir Towers, Main Road, Ranchi - 834 001 **Salem :** 49 / 50, Fort Main Road, Old No.17, First Floor, Shevapet, Salem - 636 002 **Surat :** UL-3, Saint Park, Behind Vijay Dairy, Near Vishal Nagar Society, Gujarat Gas Circle, Adajan Road, Surat-395009 **Trichy :** 60 Srikrishna Arcade, 1st Floor; Thennur High Road, Trichy 621 017 **Trivandrum :** 2nd Floor, Akshaya Towers, Sasthamangalam, Trivandrum - 695 010 **Varanasi :** D64/132, 1st Floor, Anant Complex, Sagra, Varanasi 221 010 **Vijayawada :** 39-10-7 Opp : Municipal Water Tank, Labbipet, Vijayawada - 520 010 **Visakhapatnam :** 47-14-5/1 Eswar Paradise, Dwaraka Nagar, Main Road, Visakhapatnam - 530 016

For updated and detailed list of Official Point of Acceptance refer to the Customer Service section on our website.

Call 1800 425 1313

[www.morganstanley.com/indiamf](http://www.morganstanley.com/indiamf)

sms indiamf to 56767

# Morgan Stanley A.C.E. Fund

## APPLICATION FORM (For Lumpsum Investment)

Morgan Stanley

An open-ended Across Capitalisations Equity Fund  
Offered by Morgan Stanley Mutual Fund

App.  
No.

Please refer to instructions before filling up this form. All sections to be filled legibly in English and in BLOCK CAPITALS.

Distributor's Name and ARN No.	Sub-Broker / Branch Code	Date of receipt	Bank Serial No.	Bank Branch Code	For office use
<b>ARN-39107</b>					

### 1 EXISTING UNIT HOLDER'S INFORMATION (Please mention the Folio No. and proceed to Section 4. Please note that applicant details and mode of holding will be as per existing Folio Number.)

Folio No.

Please  KYC Compliant (Refer Instruction 10) Please attach proof (For applications of Rs. 50000 or more)

### 2a APPLICANT'S INFORMATION

#### NAME OF THE SOLE/FIRST APPLICANT

(Mr./Ms./Mrs./M/s/Others) First Name Middle Name Last Name Date of Birth DD MM YYYY Sex  Male  Female

PAN (Mandatory)  Enclosed (Please )  PAN Proof (For all applications) Please  KYC Compliant (Refer Instruction 10) Please attach proof (For applications of Rs. 50000 or more)

#### LEGAL STATUS OF SOLE/FIRST APPLICANT (Please )

Individual  HUF  Company/Body Corporate  Trust  Partnership  FIIs  Bank/FI  AOP/BoI  
 Club/Society  NRI/PIO  Minor  NGO  Defence Establishment  Others (Please specify) \_\_\_\_\_

#### OCCUPATION OF SOLE/FIRST APPLICANT (Please )

Service  Business  Professional  Student  Retired  Housewife  Agriculture  Others (Please specify) \_\_\_\_\_

#### GUARDIAN (if sole/first applicant is minor) / CONTACT PERSON (in case of non-individual investors only) (PAN / KYC Compliance not required for contact person)

(Mr./Ms./Mrs./M/s/Others) First Name Middle Name Last Name

PAN (Mandatory)  Enclosed (Please )  PAN Proof (For all applications) Please  KYC Compliant (Refer Instruction 10) Please attach proof (For applications of Rs. 50000 or more)

#### NAME OF THE SECOND APPLICANT

(Mr./Ms./Mrs./M/s/Others) First Name Middle Name Last Name Date of Birth DD MM YYYY

PAN (Mandatory)  Enclosed (Please )  PAN Proof (For all applications) Please  KYC Compliant (Refer Instruction 10) Please attach proof (For applications of Rs. 50000 or more)

#### NAME OF THE THIRD APPLICANT

(Mr./Ms./Mrs./M/s/Others) First Name Middle Name Last Name Date of Birth DD MM YYYY

PAN (Mandatory)  Enclosed (Please )  PAN Proof (For all applications) Please  KYC Compliant (Refer Instruction 10) Please attach proof (For applications of Rs. 50000 or more)

Mode of Operation (Please )  Single  Joint  Anyone or Survivor

#### PoA HOLDER DETAILS (If the investment is being made by a Constituted Attorney please furnish Name and PAN of PoA holder)

PAN (Mandatory - Please attach PAN Proof)

(Mr./Ms./Mrs./Others) First Name Middle Name Last Name

### 2b CONTACT DETAILS OF SOLE / FIRST APPLICANT

Address for Correspondence (P.O. Box Address is not sufficient)

Overseas Address (Mandatory for NRI/FII Applicants)

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

City/Town \_\_\_\_\_ State \_\_\_\_\_

State \_\_\_\_\_ PIN  Country \_\_\_\_\_ Postal Code \_\_\_\_\_

Tel. (Office) (ISD) (STD) \_\_\_\_\_ Tel. (Res.) (ISD) (STD) \_\_\_\_\_ Mobile (ISD) \_\_\_\_\_

Email ID \_\_\_\_\_ Fax (ISD) (STD) \_\_\_\_\_

### 3 BANK ACCOUNT DETAILS (MANDATORY)

Account No.  Account Type  Savings  Current  NRE  NRO  FCNR  Others (Please Specify) (Please )

Bank Name \_\_\_\_\_ Branch \_\_\_\_\_

City \_\_\_\_\_ MICR Code  (This is a nine digit number next to your Cheque Number)

Direct Credit for Dividends/Redemptions (Please )

IFSC Code  (This is an eleven digit alpha numeric number on your cheque)

Morgan Stanley

### ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant / Authorised Signatory)

App.  
No.

Received from  
(Mr./Ms./Mrs./M/s/Others) \_\_\_\_\_

towards application for units of **Morgan Stanley A.C.E. Fund** Plan (Please )  Growth  Dividend

Option (Please )  Dividend Payout  Dividend Reinvestment Amount (Rs.) \_\_\_\_\_

Cheque/DD No. \_\_\_\_\_ Dated \_\_\_\_\_ Drawn on bank \_\_\_\_\_

All purchase subject to realisation of cheque/DD

Collection centre/ISC stamp, date & signature

**4 INVESTMENT DETAILS - LUMP SUM**

Scheme **Morgan Stanley A.C.E. Fund**

Plan (Please ✓)

Growth  Dividend

Option (Please ✓ if you have opted for Dividend Plan)

Dividend Payout  Dividend Reinvestment

**5 PAYMENT DETAILS (Refer Instruction 13)**

Investment Amount Rs. \_\_\_\_\_ (Minimum Rs. 5000) DD Charges (if applicable) Rs. \_\_\_\_\_ Net Amount in Figures Rs. \_\_\_\_\_

Net Amount in Words \_\_\_\_\_

Mode of Payment (strike off whichever is not applicable)  Cheque/DD No. \_\_\_\_\_ Dated \_\_\_\_\_

Cheque/DD/ \_\_\_\_\_ DD MM YYYY

Drawn on Bank \_\_\_\_\_

Branch \_\_\_\_\_ City \_\_\_\_\_

Cheque/DD favouring **"Morgan Stanley A.C.E. Fund"**

Account Type (Please ✓)  Savings  Current  NRE  NRO  FCNR  Others (Please specify) \_\_\_\_\_

**6 NOMINATION DETAILS (To be filled in by Individual(s) applying singly or jointly) (Refer Instruction 15)**

I/We do hereby nominate the undermentioned Nominee to receive the Units allotted to my/our credit in my folio in the event of my/our death. I/We also understand that all payments and settlements made to such Nominee and Signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustees.

Name and Address of Nominee

To be furnished in case Nominee is Minor (strike out if not applicable)

Name of Nominee \_\_\_\_\_ Name of Guardian \_\_\_\_\_

Address \_\_\_\_\_ Address of Guardian \_\_\_\_\_

City \_\_\_\_\_ City \_\_\_\_\_

State \_\_\_\_\_ Pin \_\_\_\_\_ State \_\_\_\_\_ Pin \_\_\_\_\_

Relationship \_\_\_\_\_

Date of Birth (in case Nominee is minor) DD MM YYYY Signature of Guardian (Mandatory) \_\_\_\_\_

**7 COMMUNICATION /INFORMATION**

I/We wish to receive the following documents via email in lieu of physical documents (Please ✓)  Account Statement  Annual Report  Other Statutory Information

I/We wish to avail facilities/information through (Please ✓)  Phone  Internet and request to send us the necessary form.

**8 DECLARATION AND SIGNATURES**

The Trustees, Morgan Stanley Mutual Fund

I/We have read and understood the contents of the offer document of the above scheme of Morgan Stanley Mutual Fund including the sections on "who cannot invest" and "important note on Anti Money Laundering, Know Your Customer (KYC) and Investor Protection". I/We hereby apply for allotment / purchase of units in the scheme and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We am/are authorised to make this investment and the amount invested in the scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any Regulatory Authority in India. I/We hereby authorise Morgan Stanley Mutual Fund, its Investment Manager and its agent to disclose details of my investment to my bank (s)/Morgan Stanley Mutual Fund's bank(s) and/or distributor/broker/investment advisor. I/We have neither received nor been induced by any rebate or gifts directly or indirectly in making this investment. I/We declare that the information given in this application form is correct, complete and truly stated. I/We understand that AMC reserves the right to refuse/reject the allotment of units in case of incomplete/incorrect information produced by me/us.

**Applicable for NRIs/Person of Indian Origin/FIIs:** I/We confirm that I am/we are Non Resident(s) of Indian Nationality/Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR account. I/We undertake that all additional purchases made under this folio will also be from fund banking from abroad through approved banking channels or from funds in my/our NRE/FCNR account.

Date DD MM YYYY

SIGNATURES (ALL APPLICANTS must sign here)

Sole/First Applicant / Guardian  
Second Applicant  
Third Applicant

**CHECKLIST**

Please ensure the following:

- Name, date of birth, address and contact details and tax status of sole/first applicant are given in full
- PAN is furnished for all applications and PAN proof is attached.
- KYC compliance confirmation (For applications of Rs. 50000 or more)
- Your bank account details MICR code (9 digit) and IFSC code (11 digit) are entered correctly and completely. A cancelled cheque leaf of such account is enclosed if the investment instrument is a demand draft or from a different bank account.
- For joint applicants, if any, mode of holding, names, birth dates are furnished
- Your preferred investment option is indicated as growth or dividend reinvestment or dividend payout
- Investment details are furnished and following conditions are fulfilled:
  - Your investment is not below the minimum investment amount of Rs. 5,000.
  - If you are paying by a demand draft, you have filled the details as investment amount = DD charges + DD amount.
  - Your investment cheque is drawn in favour of **Morgan Stanley A.C.E. Fund** dated and signed. On the reverse of the cheque the name of the sole/first applicant and the application number are written
- Nomination details are filled in. If you do not wish to nominate, please ensure that the nomination section is crossed out.
- The form is duly signed by all applicants.

**Accompanying documents**

Please submit the following documents with your application (where applicable). All documents should be original/true copies certified by a Director/Trustee/Company Secretary/Authorised Signatory.

Documents	Individual	Companies	Societies	Partnership Firms	Investments through PoA	Trust	NRI	FIIs	Persons of Indian Origin
Proof of PAN	✓	✓	✓	✓	✓	✓	✓	✓	✓
KYC Compliance	✓	✓	✓	✓	✓	✓	✓	✓	✓
PIO Card									✓
Resolution/Authorisation to invest		✓	✓	✓		✓		✓	
List of Authorised Signatories with Specimen signature(s)		✓	✓	✓	✓	✓		✓	
Memorandum & Articles of Association		✓							
Trust Deed						✓			
Bye-laws			✓						
Partnership Deed				✓					
Overseas Auditors' Certificate								✓	
Notarised Power of Attorney					✓				
Foreign Inward Remittance Certificate, in case payment is made by DD from NRE/FCNR a/c, where applicable							✓		

Call 1800 425 1313

www.morganstanley.com/indiamf

sms indiamf to 56767

# Morgan Stanley A.C.E. Fund

## SIP APPLICATION FORM [Systematic Investment Plan (SIP)]

Morgan Stanley

App.  
No.

An open-ended Across Capitalisations Equity Fund  
Offered by Morgan Stanley Mutual Fund

Please refer to instructions before filling up this form. All sections to be filled legibly in English and in BLOCK CAPITALS.

Distributor's Name and ARN No.	Sub-Broker / Branch Code	Date of receipt	Bank Serial No.	Bank Branch Code	For office use
ARN-39107					

### 1 EXISTING UNIT HOLDER'S INFORMATION (Please mention the Folio No. and proceed to Section 4. Please note that applicant details and mode of holding will be as per existing Folio Number)

Folio No.  Please  KYC Compliant (Refer Instruction 10) Please attach proof (For applications of Rs. 50000 or more)

### 2a NEW APPLICANT'S INFORMATION

NAME OF THE SOLE/FIRST APPLICANT  
(Mr./Ms./Mrs./M/s/Others) First Name Middle Name Last Name Date of Birth DD MM YYYY Sex Male  Female

PAN (Mandatory) Enclosed (Please   PAN Proof (For all applications) Please  KYC Compliant (Refer Instruction 10) Please attach proof (For applications of Rs. 50000 or more)

LEGAL STATUS OF SOLE/FIRST APPLICANT (Please )  
 Individual  HUF  Company/Body Corporate  Trust  Partnership  FII  Bank/FI  AOP/BoI  
 Club/Society  NRI/PIO  Minor  NGO  Defence Establishment  Others (Please specify)

OCCUPATION OF SOLE/FIRST APPLICANT (Please )  
 Service  Business  Professional  Student  Retired  Housewife  Agriculture  Others (Please specify)

GUARDIAN (if sole/first applicant is minor) / CONTACT PERSON (in case of non-individual investors only) (PAN / KYC Compliance not required for contact person)

(Mr./Ms./Mrs./M/s/Others) First Name Middle Name Last Name Date of Birth DD MM YYYY  
PAN (Mandatory) Enclosed (Please   PAN Proof (For all applications) Please  KYC Compliant (Refer Instruction 10) Please attach proof (For applications of Rs. 50000 or more)

NAME OF THE SECOND APPLICANT  
(Mr./Ms./Mrs./M/s/Others) First Name Middle Name Last Name Date of Birth DD MM YYYY  
PAN (Mandatory) Enclosed (Please   PAN Proof (For all applications) Please  KYC Compliant (Refer Instruction 10) Please attach proof (For applications of Rs. 50000 or more)

NAME OF THE THIRD APPLICANT  
(Mr./Ms./Mrs./M/s/Others) First Name Middle Name Last Name Date of Birth DD MM YYYY  
PAN (Mandatory) Enclosed (Please   PAN Proof (For all applications) Please  KYC Compliant (Refer Instruction 10) Please attach proof (For applications of Rs. 50000 or more)

Mode of Operation (Please )  Single  Joint  Anyone or Survivor

PoA HOLDER DETAILS (If the investment is being made by a Constituted Attorney please furnish Name and PAN of PoA holder) PAN (Mandatory - Please attach PAN Proof)

### 2b CONTACT DETAILS OF SOLE / FIRST APPLICANT

Address for Correspondence (P.O. Box Address is not sufficient) Overseas Address (Mandatory for NRI/FII Applicants)  
City/Town City/Town  
State Country PIN State Country Postal Code  
Tel. (Office) (ISD) (STD) Tel. (Res.) (ISD) (STD) Mobile (ISD)  
Email ID Fax (ISD) (STD)

### 3 BANK ACCOUNT DETAILS (MANDATORY)

Account No. Account Type  Savings  Current  NRE  NRO  FCNR  Others (Please Specify)  
Bank Name Branch  
City MICR Code (This is a nine digit number next to your Cheque Number)  
Direct Credit for Dividends/Redemptions (Please    
IFSC Code (This is an eleven digit alpha numeric number on your cheque)

### 4 AUTO-DEBIT AUTHORISATION BY BANK ACCOUNT HOLDERS (MANDATORY FOR ECS ONLY)

Account No. Account Type  Savings  Current  NRE  NRO  FCNR  Others (Please specify)  
Bank Name Branch City  
MICR Code Mandatory (This is a nine digit number next to your Cheque Number) IFSC Code (This is an eleven digit alpha numeric number on your cheque)

Auto-Debit Instalment Amount Rs. (Minimum Rs. 1000) Period from DD MM YYYY to DD MM YYYY

This is to inform that I/We have registered for RBI's Electronic Clearing Service (Debit Clearing) Direct Debit and that my/our payment towards my/our investment in Morgan Stanley Mutual Fund shall be made from my/our above-mentioned bank account with your bank. I/We hereby authorise MSIMPL - Investment Manager to Morgan Stanley Mutual Fund, acting through their authorised service providers and representatives carrying this ECS Mandate Form to get it verified and executed. I/We hereby further authorise MSIMPL through their authorised service providers to debit my/our above bank account by ECS (Debit Clearing) for collection of SIP payments. Mandate verification charges if any may be charged to my/our account

### NAME(S) & SIGNATURE(S) OF BANK ACCOUNT HOLDER(S) AS IN BANK RECORDS

Name(s) of Bank Account Holder(s) Signature(s) of Bank Account Holder(s)  
Sole/1st Bank Account Holder 2nd Bank Account Holder 3rd Bank Account Holder  
(To be signed by all holders if mode of operation of Bank Account is 'Joint')

FOR OFFICE USE ONLY (Not to be filled in by investor)		
Recorded on	Recorded by	Credit A/c. No.
<input type="text"/>	<input type="text"/>	<input type="text"/>

## Morgan Stanley

### ACKNOWLEDGEMENT SLIP (To be filled in by the Applicant / Authorised Signatory)

App.  
No.

Received from (Mr./Ms./Mrs./M/s/Others) towards application for units of **Morgan Stanley A.C.E. Fund** Plan (Please  Growth  Dividend)  
Option (Please   Dividend Payout  Dividend Reinvestment  
SIP Period from DD MM YYYY to DD MM YYYY SIP Instalment Amount (Rs.)  
Cheque No. Dated DD MM YYYY Drawn on Bank  
Branch All purchase subject to realisation of cheque/DD

Collection centre/ISC stamp, date & signature
---

## 5 INVESTMENT DETAILS – SIP

Scheme Morgan Stanley A.C.E. Fund

Plan (Please ✓)

Growth  Dividend

Option (Please ✓ if you have opted for Dividend Plan)

Dividend Payout  Dividend Reinvestment

## 6 PAYMENT DETAILS (Refer SIP Instructions)

Investment Amount Rs. \_\_\_\_\_ (Minimum Rs. 1000)  
 No. of Instalments \_\_\_\_\_ (Minimum 6)  
 Total Amount Rs. \_\_\_\_\_

SIP Period From MM YYYY To MM YYYY

The first SIP date for ECS (Debit Clearing)/Direct Debit should be on or after 30 days after allotment of units.

First payment by Cheque only

First SIP Instalment Cheque Details :

Cheque No. \_\_\_\_\_ Dated DD MM YYYY

SIP Date (Please ✓)  1st or  10th or  15th or  25th

Drawn on \_\_\_\_\_

SIP Frequency (Please ✓)  Monthly or  Quarterly

Bank \_\_\_\_\_

Branch \_\_\_\_\_

City \_\_\_\_\_

Cheque favouring "Morgan Stanley A.C.E. Fund"

Account Type (Please ✓)  Savings  Current  NRE  NRO  FCNR  Others \_\_\_\_\_ (Please specify)

**SIP THROUGH AUTO-DEBIT (ECS)**

Please fill up the Auto Debit Authorisation by Bank Account Holders on front page of this Form

OR

**SIP THROUGH POST-DATED CHEQUES\*** (\* Cheques for all Months/Quarters should be of same date)

Second and subsequent Instalment Cheque Details: \_\_\_\_\_

Cheque Nos. From \_\_\_\_\_ To \_\_\_\_\_

Dated From DD MM YYYY To DD MM YYYY

## 7 NOMINATION DETAILS (To be filled in by Individual(s) applying singly or jointly) (Refer Instruction 15)

I/We do hereby nominate the undermentioned Nominee to receive the Units allotted to my/our credit in my/our folio in the event of my/our death. I/We also understand that all payments and settlements made to such Nominee and Signature of the Nominee acknowledging receipt thereof, shall be a valid discharge by the AMC/Mutual Fund/Trustees.

Name and Address of Nominee

To be furnished in case Nominee is Minor (strike out if not applicable)

Name of Nominee \_\_\_\_\_

Name of Guardian \_\_\_\_\_

Address \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_

City \_\_\_\_\_

State \_\_\_\_\_

Pin \_\_\_\_\_

State \_\_\_\_\_

Pin \_\_\_\_\_

Relationship \_\_\_\_\_

Date of Birth (in case Nominee is minor) \_\_\_\_\_

DD

MM

YYYY

Signature of Guardian (Mandatory) \_\_\_\_\_

## 8 COMMUNICATION / INFORMATION

I/We wish to receive the following documents via email in lieu of physical documents (Please ✓)  Account Statement  Annual Report  Other Statutory Information

I/We wish to avail facilities/information through (Please ✓)  Phone  Internet and request to send us the necessary form.

## 9 DECLARATION AND SIGNATURES

The Trustees, Morgan Stanley Mutual Fund

I/we have read and understood the contents of the offer document of the above scheme of Morgan Stanley Mutual Fund including the sections on "who cannot invest" and "important note on Anti Money Laundering, Know Your Customer (KYC) and Investor Protection". I/We hereby apply for allotment / purchase of units in the scheme and agree to abide by the terms and conditions applicable thereto. I/We hereby declare that I/We am/are authorised to make this investment and the amount invested in the scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of any Act, Rules, Regulations, Notifications or Directions issued by any Regulatory Authority in India. I/We hereby authorise Morgan Stanley Mutual Fund, its Investment Manager and its agent to disclose details of my investment to my bank (s)/Morgan Stanley Mutual Fund's bank(s) and/or distributor/broker/investment advisor. I/We have neither received nor been induced by any relate or gifts directly or indirectly in making this investment. I/We declare that the information given in this application form is correct, complete and truly stated.

**Applicable for NRIs:** I/We confirm that I am/we are Non Resident(s) of Indian Nationality/Origin and that I/We have remitted funds from abroad through approved banking channels or from funds in my/our NRE/FCNR account. I/We undertake that all additional purchases made under this folio will also be from fund received from abroad through approved banking channels or from funds in my/our NRE/FCNR account.

Date DD MM YYYY

SIGNATURES  
(ALL APPLICANTS must sign here)

Sole/First Applicant / Guardian

Second Applicant

Third Applicant

## CHECKLIST

Please ensure the following:

- Name, date of birth, address and contact details and legal status of sole/first applicant are given in full
- PAN is furnished for all applications and PAN proof is attached.
- KYC compliance confirmation (For applications of Rs. 50000 or more)
- Your bank account details MICR code (9 digit) and IFSC code (11 digit) are entered correctly and completely. A cancelled cheque leaf of such account is enclosed if the investment is from a different bank account.
- For joint applicants, if any, mode of holding, names, birth dates are furnished
- Your preferred investment option is indicated as growth or dividend reinvestment or dividend payout
- Investment details are furnished and following conditions are fulfilled:
  - Your investment is not below the minimum investment amount of Rs. 1,000.
  - Your investment cheque is drawn in favour of **Morgan Stanley A.C.E. Fund** dated and signed. On the reverse of the cheque the name of the sole/first applicant and the application number are written
- Nomination details are filled in. If you do not wish to nominate, please ensure that the nomination section is crossed out.
- The form is duly signed by all applicants.

## Accompanying documents

Please submit the following documents with your application (where applicable). All documents should be original/true copies certified by a Director/Trustee/Company Secretary/Authorised Signatory.

Documents	Individual	Companies	Societies	Partnership Firms	Investments through PoA	Trust	NRI	FIs	Persons of Indian Origin
Proof of PAN	✓	✓	✓	✓	✓	✓	✓	✓	✓
KYC Compliance	✓	✓	✓	✓	✓	✓	✓	✓	✓
PIO Card									✓
Resolution/Authorisation to invest		✓	✓	✓		✓		✓	
List of Authorised Signatories with Specimen signature(s)		✓	✓	✓	✓	✓		✓	
Memorandum & Articles of Association		✓							
Trust Deed						✓			
Bye-laws			✓						
Partnership Deed				✓					
Overseas Auditors' Certificate								✓	
Notarised Power of Attorney					✓				
Foreign Inward Remittance Certificate, in case payment is made by DD from NRE/FCNR a/c, where applicable							✓		

Call 1800 425 1313

www.morganstanley.com/indiamf

sms indiamf to 56767